

FSMA

FINANCIAL SERVICES AND  
MARKETS AUTHORITY

## Transparency notification Form TR-1 BE Help

### How to use this form?

TR-1 BE is an interactive form.

Therefore it is essential that you *download it again for each new notification*.

You can select the name of an issuer in the list referred to in Section 2.

The form consists of two parts: *Part I*, that has to be transmitted to both the FSMA and the issuer, and *Part II*, to be sent exclusively to the FSMA.

Once you have opened the form on the FSMA's website, start by *saving* it on your computer (using "save as") before filling it in. When opening the file, remember also to verify that *macros* are active.

In order to *add rows* in the various sections of Part I (e.g. if there are several notifiers), please use the "+" and "-" buttons.

The names of the persons subject to a notification requirement as indicated in Part I automatically appear in Part II when you click on the "*PART II*" button in Section 6. It is not possible to add any additional rows in Part II.

Please *do not unprotect* the form; please fill in only where authorized and *do not modify* the formulas.

In Section 10, start with groups. In the case of *groups* of notifiers with subtotals to be filled in, please identify the members of the group and subsequently *click* on " $\Sigma$ " to fill in a subtotal. Repeat this for each group. Finish with people notifying alone.

Once Section 10 is completed, *click* on "*CALCULATE*" to show the totals and subtotals.

For further details, please refer to the extract from the Guide to the Transparency Legislation.

The (unsigned) form should be transmitted in *xlsm* format. In addition, a signed copy should be transmitted in *PDF* format.

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# Transparency notification

## Form TR-1 BE

### PART I

#### 1) Status of the notification

Final

#### 2) Issuer

Name UCB  
 Identification number 0403-053-608

#### 3) Reason for the notification

Acquisition or disposal of voting securities or voting rights

#### 4) Notification by

A parent undertaking or a controlling person

#### 5) Persons subject to the notification requirement

Name	Address (for legal entities)
UCB SA/NV	Allée de la recherche 60, 1070 Brussels (Anderlecht)

#### 6) Persons that dispose of voting rights (only to be filled in if art. 7 of the Law applies)

Name	Address (for legal entities)

**i** Please continue entering the information in **part II** concerning the persons referred to in Sections 5 and 6

Part II

#### 7) Date on which the threshold is crossed

24/03/2021 (DD/MM/YYYY)

#### 8) Threshold that is crossed (in %)

3

**i** If the holding has fallen below the lowest threshold, you have the option of not entering any numbers in Section 10

#### 9) Denominator

194,505,658


**i** Please enter the denominator before filling in the data

#### 10) Notified details

A) Voting rights	Previous notification # of voting rights	After the transaction			
		# of voting rights		% of voting rights	
Holders of voting rights		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
UCB SA/NV	1,616,420	5,855,888		3.01%	
UCB Fipar SA	4,126,119	0		0.00%	
<b>TOTAL</b>		<b>5,855,888</b>	<b>0</b>	<b>3.01%</b>	<b>0.00%</b>

**i** Start with "groups" of holders. Add subtotals with  $\Sigma$ , and then finish with the persons who are "alone".  
 For groups, start with the ultimate controlling natural person or legal entity.  
 The totals, subtotals and % will be updated once you have clicked on **<CALCULATE>**.

B) Equivalent financial instruments	After the transaction						
	Holders of equivalent financial instruments	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
							+
TOTAL					0	0.00%	

 The totals will be updated once you have clicked on <CALCULATE>

TOTAL (A & B)	# of voting rights	% of voting rights
	5,855,888	3.01%

**CALCULATE**

11) Full chain of controlled undertakings through which the holding is effectively held, if applicable

UCB SA/NV indirectly controls UCB Fipar SA.

12) In case of proxy voting for only one GM

Holder  will cease to hold / will hold again  (DD/MM/YYYY) voting rights as of

13) Additional information

The disclosure obligation arose due to voting rights held by UCB SA crossing the 3% minimum threshold. The variation is due to UCB covering its obligations towards employees in the framework of the Long Term Incentive plans of the UCB group.

Done at

On  (DD/MM/YYYY)

Name & capacity

DocuSigned by:  
Signature   
B3015FCE45284E5...

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## Transparency notification

### Form TR-1 BE

### PART II

#### Persons subject to the notification requirement

<u>Name</u>	<u>Address</u>	<u>Phone number</u>	<u>E-mail</u>	<u>Contact person (for legal entities)</u>
UCB SA/NV	Allée de la Recherche 60, 1070 Anderlecht	+32 2 559 95 83	Xavier.Michel@ucb.com	Xavier Michel

#### Mandatory

<u>Name</u>	<u>Address</u>	<u>Phone number</u>	<u>E-mail</u>	<u>Contact person (for legal entities)</u>

#### Invoicing data

<u>Name</u>	<u>Address</u>	<u>Phone number</u>	<u>E-mail</u>	<u>Contact person (for legal entities)</u>
UCB SA/NV	Allée de la Recherche 60, 1070 Anderlecht	+32 2 559 95 83	Muriel.LeGrelle@ucb.com	Muriel LeGrelle

The personal data which you have provided to the FSMA via this form will be processed by the FSMA as set out in its [Privacy Policy](#).